



Deer Park's Joe Schwab Announced as Finalist in the 2024 COMPLYConnect Awards for Compliance Officer of the Year

STEAMBOAT SPRINGS, Oct. 15, 2024 – Deer Park Road Management Company's ("Deer Park"), an alternative asset management firm with dedicated expertise in the structured credit markets, today announced that Joe Schwab, Deer Park's Chief Compliance Officer, has been selected as a finalist for the COMPLYConnect 2024 Compliance Officer of the Year Award. The COMPLYConnect Awards recognize compliance professionals and organizations within the financial industry who have made significant contributions in advancing the regulatory compliance field.

"Over the past year, the regulatory compliance industry has faced an incredible amount of change. Through this evolution, compliance professionals have been at the helm, working thoughtfully, strategically, and quickly to protect their firm, their clients, and the market at large. The COMPLYConnect awards highlight the most innovative individuals who have shaped the industry and, ultimately, defined what it means to be a compliance professional in a market that faces significant regulatory pressure," said Michael Stanton, COMPLY Chief Executive Officer.

"Joe Schwab is an outstanding Chief Compliance Officer and leader for Deer Park," said Brad Craig, Deer Park's Chief Operating Officer and Managing Partner. "He has a wealth of regulatory knowledge and compliance experience that has helped support the growth of Deer Park's compliance program over the years. He is unwavering in his commitment to enhancing Deer Park's processes and procedures and has a passion for sharing his knowledge throughout the firm. We are pleased and honored that Joe was selected as a finalist in the 2024 COMPLYConnect awards and fortunate to have Joe as Deer Park's compliance leader."

Joe Schwab has almost 20 years of experience in compliance and risk management and is responsible for developing, implementing, and overseeing the regulatory compliance processes for Deer Park. Mr. Schwab has been involved in the financial industry since 2003 and joined Deer Park in 2013. He acquired the IACCP® (Investment Adviser Certified Compliance Professional®) designation in 2014. Prior to joining Deer Park, he worked at RBC Dain Rauscher and was founder of an investment advisory firm where he served as CEO and Portfolio Manager.

Award Criteria

The 2024 ComplyConnect Awards received hundreds of nominations and selected 14 finalists across 5 award categories: *Compliance Officer of the Year*, *Compliance Innovator of the Year*, *Compliance Educator of the Year*, *Compliance Provider of the Year*, and *Future Compliance Star of the Year*. Finalists were selected by the COMPLYConnect Awards Committee led by COMPLY Chief Regulatory Officer John Gebauer. Award winners will be announced at the COMPLYConnect 2024 Awards Reception on October

23 in Austin, TX. More information on the 2024 COMPLYConnect Awards and criteria can be found at [COMPLYConnect 2024](#)

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About Deer Park Road Management Company

Deer Park Road Management Company is an alternative asset management firm based in Steamboat Springs, Colorado with total firm-wide assets under management of approximately \$2.9 billion as of September 30, 2024. Deer Park was founded by Michael Craig-Scheckman in 2003 with Scott Burg, Chief Investment Officer and Managing Partner, joining the Firm in 2010. Deer Park offers institutional and individual investors from around the globe a range of investment funds to meet their specific needs and objectives including its flagship hedge fund, a daily liquidity mutual fund, and customized separately managed accounts. For more information visit www.deerparkrd.com